

# E 0.8x

## User Manual

–preliminary version–

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August 1, 2003

### Abstract

E is an equational theorem prover for full clausal logic, based on superposition and rewriting. In this *very preliminary* manual we first give a short introduction for impatient new users, and then cover calculus, control, options and input/output of the prover in some more detail.

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## 1 Introduction

This is a short and very sketchy documentation to the E equational theorem prover. E is an purely equational theorem prover for clausal logic with equality. It is based on paramodulation and rewriting. This means that E reads a set of clauses and saturates it by systematically applying a number of inference rules until either all possible inferences have been performed or until the empty clause has been derived, i.e. the clause set has been found to be unsatisfiable and thus a conjecture has been proved.

E is still a moving target, but most recent releases have been quite stable, and it the prover is being used productively by serveral independent groups of people. This manual should enable you to experiment with the prover and to use some of its more advanced features.

The manual assumes a working knowledge of refutational theorem proving, which can be gained from e.g. [CL73]. For a short description of E including performance data, see [Sch01], a more detailed description will be published as [Sch02]. Most papers on E and much more information is available at or a few hops away from the E homepage, <http://www4.informatik.tu-muenchen.de/~schulz/WORK/eprover.html>.

Some other provers have influenced the design of E and may be referenced in the course of this manual. These include SETHEO [MIL<sup>+</sup>97], Otter [McC94, MW97], SPASS [WGR96, WAB<sup>+</sup>99], DISCOUNT [DKS97], Waldmeister [HBF96, HJL99] and Vampire [RV02, RV01].

## 2 Getting Started

Installation of E should be straightforward. The file `README` in the main directory of the distribution contains the necessary information. After building, you will find the standalone executable `E/PROVER/eprover`.

E is controlled by a very wide range of parameters. However, if you do not want to bother with the details, you can leave configuration for a problem to the prover. To use this feature, use the following command line options:

<code>-xAuto</code>	Select a literal selection strategy and a selection heuristic automatically (based on problem features).
<code>-tAuto</code>	Select a term ordering automatically.
<code>--memory-limit=xx</code>	Tell the prover how much memory (measured in MB) to use at most. In automatic mode E will optimize its behaviour for this amount (20 MB will work, 64 MB is reasonable, 192 MB is what I use. <i>More is better</i> <sup>1</sup> , but if you go over your physical memory, you will probably experience <i>very</i> heavy swapping.).

*Example:* If you happen to have a workstation with 64 MB RAM<sup>2</sup>, the following command is reasonable:

```
eprover -xAuto -tAuto --memory-limit=48 PUZ031-1+rm_eq_rstfp.lop
```

This documentation will probably lag behind the development of the latest version of the prover for quite some time. To find out more about the options available, type `eprover --help` (or consult the source code included with the distribution).

### 3 Calculus and Proof Procedure

E is a purely equational theorem prover, based on ordered paramodulation and rewriting. As such, it implements an instance of the superposition calculus described in [BG94]. We have extended the calculus with some stronger contraction rules and more general approach to literal selection. The proof procedure is a variant of the *given-clause* algorithm.

#### 3.1 Calculus

$Term(F, V)$  denotes the set of (first order) *terms* over a finite set of function symbols  $F$  (with associated arities) and an enumerable set of variables  $V$ . We write  $t|_p$  to denote the subterm of  $t$  at a position  $p$  and write  $t[p \leftarrow t']$  to denote  $t$  with  $t|_p$  replaced by  $t'$ . An equation  $s \simeq t$  is an (implicitly symmetrical) pair of terms. A positive literal is an equation  $s \simeq t$ , a negative literal is a negated equation  $s \not\simeq t$ . We write  $s \dot{\simeq} t$  to denote an arbitrary literal<sup>3</sup>. Literals

<sup>1</sup>Emphasis added for E 0.7 and up, which globally cache rewrite steps.

<sup>2</sup>Yes, this is outdated. If it still applies to you, get a new computer! It will still work ok, though.

<sup>3</sup>Nonequational literals are encoded as equations or disequations of the form  $P(t_1, \dots, P_n) \dot{\simeq} \top$ . In this case, we treat predicate symbols as special function symbols that can only occur at the top-most positions and demand that atoms (terms formed with a top predicate symbol) cannot be unified with a first-order variable from  $V$ , i.e. we treat normal terms and predicate terms as two disjoint types.

can be represented as multi-sets of multi-sets of terms, with  $s \simeq t$  represented as  $\{\{s\}, \{t\}\}$  and  $s \not\simeq t$  represented as  $\{\{s, t\}\}$ . A *ground reduction ordering*  $>$  is a Noetherian partial ordering that is stable w.r.t. the term structure and substitutions and total on ground terms.  $>$  can be extended to an ordering  $>_l$  on literals by comparing the multi-set representation of literals with  $\gggg$  (the multi-set-multi-set extension of  $>$ ).

Clauses are multi-sets of literals. They are usually represented as disjunctions of literals,  $s_1 \simeq t_1 \vee s_2 \simeq t_2 \dots \vee s_n \simeq t_n$ . We write  $Clauses(F, P, V)$  to denote the set of all clauses with function symbols  $F$ , predicate symbols  $P$  and variable  $V$ . If  $\mathcal{C}$  is a clause, we denote the (multi-)set of positive literals in  $\mathcal{C}$  by  $\mathcal{C}^+$  and the (multi-)set of negative literals in  $\mathcal{C}$  by  $\mathcal{C}^-$ .

The introduction of an extended notion of *literal selection* has improved the performance of E significantly. The necessary concepts are explained in the following.

**Definition 3.1 (Selection functions)**

$sel : Clauses(F, P, V) \rightarrow Clauses(F, P, V)$  is a *selection function*, if it has the following properties for all clauses  $\mathcal{C}$ :

- $sel(\mathcal{C}) \subseteq \mathcal{C}$ .
- If  $sel(\mathcal{C}) \cap \mathcal{C}^- = \emptyset$ , then  $sel(\mathcal{C}) = \emptyset$ .

We say that a literal  $\mathcal{L}$  is *selected* (with respect to a given selection function) in a clause  $\mathcal{C}$  if  $\mathcal{L} \in sel(\mathcal{C})$ . ◀

We will use two kinds of restrictions on deducing new clauses: One induced by ordering constraints and the other by selection functions. We combine these in the notion of *eligible literals*.

**Definition 3.2 (Eligible literals)**

Let  $\mathcal{C} = \mathcal{L} \vee \mathcal{R}$  be a clause, let  $\sigma$  be a substitution and let  $sel$  be a selection function.

- We say  $\sigma(\mathcal{L})$  is *eligible for resolution* if either
  - $sel(\mathcal{C}) = \emptyset$  and  $\sigma(\mathcal{L})$  is  $>_L$ -maximal in  $\sigma(\mathcal{C})$  or
  - $sel(\mathcal{C}) \neq \emptyset$  and  $\sigma(\mathcal{L})$  is  $>_L$ -maximal in  $\sigma(sel\mathcal{C}) \cap \mathcal{C}^-$  or
  - $sel(\mathcal{C}) \neq \emptyset$  and  $\sigma(\mathcal{L})$  is  $>_L$ -maximal in  $\sigma(sel\mathcal{C}) \cap \mathcal{C}^+$ .
- $\sigma(\mathcal{L})$  is *eligible for paramodulation* if  $\mathcal{L}$  is positive,  $sel(\mathcal{C}) = \emptyset$  and  $\sigma(\mathcal{L})$  is strictly  $>_L$ -maximal in  $\sigma(\mathcal{C})$ .

◀

The calculus is represented in the form of inference rules. For convenience, we distinguish two types of inference rules. For *generating* inference rules, written with a single line separating preconditions and results, the result is added to the set of all clauses. For *contracting* inference rules, written with a double

line, the result clauses are substituted for the clauses in the precondition. In the following,  $u, v, s$  and  $t$  are terms,  $\sigma$  is a substitution and  $R, S$  and  $T$  are (partial) clauses.  $p$  is a position in a term and  $\lambda$  is the empty or top-position. Different clauses are assumed to not share any common variables.

**Definition 3.3 (The inference system SP)**

Let  $>$  be a total simplification ordering (extended to orderings  $>_L$  and  $>_C$  on literals and clauses) and let  $sel$  be a selection function. The inference system **SP** consists of the following inference rules:

- *Equality Resolution:*

$$(ER) \frac{u \not\approx v \vee R}{\sigma(R)} \quad \text{if } \sigma = mgu(u, v) \text{ and } \sigma(u \not\approx v) \text{ is eligible for resolution.}$$

- *Superposition into negative literals:*

$$(SN) \frac{s \simeq t \vee S \quad u \not\approx v \vee R}{\sigma(u[p \leftarrow t] \not\approx v \vee S \vee R)} \quad \text{if } \sigma = mgu(u|_p, s), \sigma(s) \not\approx \sigma(t), \sigma(u) \not\approx \sigma(v), \sigma(s \simeq t) \text{ is eligible for paramodulation, } \sigma(u \not\approx v) \text{ is eligible for resolution, and } u|_p \notin V.$$

- *Superposition into positive literals:*

$$(SP) \frac{s \simeq t \vee S \quad u \simeq v \vee R}{\sigma(u[p \leftarrow t] \simeq v \vee S \vee R)} \quad \text{if } \sigma = mgu(u|_p, s), \sigma(s) \not\approx \sigma(t), \sigma(u) \not\approx \sigma(v), \sigma(s \simeq t) \text{ is eligible for paramodulation, } \sigma(u \simeq v) \text{ is eligible for resolution, and } u|_p \notin V.$$

- *Equality factoring:*

$$(EF) \frac{s \simeq t \vee u \simeq v \vee R}{\sigma(t \not\approx v \vee u \simeq v \vee R)} \quad \text{if } \sigma = mgu(s, u), \sigma(s) \not\approx \sigma(t) \text{ and } \sigma(s \simeq t) \text{ eligible for paramodulation.}$$

- *Rewriting of negative literals:*

$$(RN) \frac{s \simeq t \quad u \not\approx v \vee R}{s \simeq t \quad u[p \leftarrow \sigma(t)] \not\approx v \vee R} \quad \text{if } u|_p = \sigma(s) \text{ and } \sigma(s) > \sigma(t).$$

- *Rewriting of positive literals*<sup>4</sup>:

$$(RP) \frac{\frac{s \simeq t \quad u \simeq v \vee R}{s \simeq t \quad u[p \leftarrow \sigma(t)] \simeq v \vee R}}{s \simeq t \quad u[p \leftarrow \sigma(t)] \simeq v \vee R}$$

if  $u|_p = \sigma(s)$ ,  $\sigma(s) > \sigma(t)$ , and if  $u \simeq v$  is not eligible for resolution or  $u \not\simeq v$  or  $p \neq \lambda$ .

- *Clause subsumption*:

$$(CS) \frac{T \quad R \vee S}{T}$$

if  $\sigma(S) = T$  for a substitution  $\sigma$  or  $\forall s \simeq t \in \sigma(S) : s \simeq t \in T$  for a substitution  $\sigma$  that is not a variable renaming.

- *Equality subsumption*:

$$(ES) \frac{\frac{s \simeq t \quad u[p \leftarrow \sigma(s)] \simeq u[p \leftarrow \sigma(t)] \vee R}{s \simeq t}}{s \simeq t}$$

- *Positive simplify-reflect*<sup>5</sup>:

$$(PS) \frac{\frac{s \simeq t \quad u[p \leftarrow \sigma(s)] \not\simeq u[p \leftarrow \sigma(t)] \vee R}{s \simeq t \quad R}}{s \simeq t \quad R}$$

- *Negative simplify-reflect*

$$(NS) \frac{\frac{s \not\simeq t \quad \sigma(s) \simeq \sigma(t) \vee R}{s \simeq t \quad R}}{s \simeq t \quad R}$$

- *Tautology deletion*:

$$(TD) \frac{C}{C}$$

if C is a tautology<sup>6</sup>.

try to detect

<sup>4</sup>A stronger version of (RP) is proven to maintain completeness for Unit and Horn problems and is generally believed to maintain completeness for the general case as well [Bac98]. However, the proof of completeness for the general case seems to be rather involved, as it requires a very different clause ordering than the one introduced [BG94], and we are not aware of any existing proof in the literature. The variant rule allows rewriting of maximal terms of maximal literals under certain circumstances:

$$(RP') \frac{\frac{s \simeq t \quad u \simeq v \vee R}{s \simeq t \quad u[p \leftarrow \sigma(t)] \simeq v \vee R}}{s \simeq t \quad u[p \leftarrow \sigma(t)] \simeq v \vee R}$$

if  $u|_p = \sigma(s)$ ,  $\sigma(s) > \sigma(t)$  and if  $u \simeq v$  is not eligible for resolution or  $u \not\simeq v$  or  $p \neq \lambda$  or  $\sigma$  is not a variable renaming.

This stronger rule is implemented successfully by both E and SPASS [Wei99].

<sup>5</sup>In practice, this rule is only applied if  $\sigma(s)$  and  $\sigma(t)$  are  $>$ -incomparable – in all other cases this rule is subsumed by (RN) and the deletion of resolved literals (DR).

tautologies by checking if the ground-completed negative literals imply at least one of the positive literals, as suggested in [NN93].

- *Deletion of duplicate literals:*

$$(DD) \frac{s \simeq t \vee s \simeq t \vee R}{s \simeq t \vee R}$$

- *Deletion of resolved literals:*

$$(DR) \frac{s \not\simeq s \vee R}{R}$$

- *Destructive equality resolution:*

$$(DE) \frac{x \not\simeq y \vee R}{\sigma(R)} \quad \text{if } x, y \in V, \sigma = mgu(x, y)$$

We write  $\mathbf{SP}(N)$  to denote the set of all clauses that can be generated with one generating inference from  $I$  on a set of clauses  $N$ ,  $\mathcal{D}_{SP}$  to denote the set of all  $\mathbf{SP}$ -derivations, and  $\mathcal{D}_{\overline{SP}}$  to denote the set of all finite  $\mathbf{SP}$ -derivations. ◀

As  $\mathbf{SP}$  only removes clauses that are *composite* with respect to the remaining set of clauses, the calculus is complete. For the case of unit clauses, it degenerates into *unfailing* completion [BDP89] as implemented in DISCOUNT. E can also simulate the positive unit strategy for Horn clauses described in [Der91] using appropriate selection functions.

Contrary to e.g. SPASS, E does not implement special rules for non-equational literals or sort theories, as we expect this part to be taken care of by SETHEO in a later combined system. Instead, non-equation literals are encoded as equations and dealt with accordingly.

## 3.2 Proof Procedure

The basic proof procedure of E is quite straightforward. The set of all clauses is split into two sets, a set  $P$  of *processed* clauses and a set  $U$  of *unprocessed* clauses. Initially, all input clauses are in  $U$ , and  $P$  is empty. The algorithm selects a new clause from  $U$ , simplifies it w.r.t. to  $P$ , then uses it to simplify the clauses in  $P$  in turn. It then performs equality factoring, equality resolution and superposition between the selected clause and the set of processed clauses. The generated clauses are added to the set of unprocessed clauses. The process stops when the empty clause is derived or no further inferences are possible. Fig. 1 shows a (slightly simplified) pseudocode sketch of the procedure.

<sup>6</sup>This rule can only be implemented approximately, as the problem of recognizing tautologies is only semi-decidable in equational logic. The latest versions of E

```

# Input:  Axioms in U, P is empty
while U  $\neq$   $\emptyset$  begin
  c := select(U)
  U := U \ c
  # Apply (RN), (RP), (SR), (DR1), (DR2)
  simplify(c,P)
  # Apply (SS1), (SS2), (TD1), (TD2)
  if c is trivial or subsumed by P then
    delete(c)
  else if c is the empty clause then
    # Success:  Proof found
    stop
  else
    T :=  $\emptyset$  # Temporary clause set
    foreach p  $\in$  P do
      if c simplifies a term in a maximal literal of p
      such that the set of maximal terms or
      the set of maximal literals of p potentially changes
      then
        P := P \ p
        T := T  $\cup$  p
      done
      simplify(p, (P \ p)  $\cup$  {c})
    end
    T := T  $\cup$  e-resolvents(c) # (ER)
    T := T  $\cup$  e-factors(c) # (EF)
    T := T  $\cup$  paramodulants(c,P) # (SN), (SP)
    foreach p  $\in$  T do
      U := U  $\cup$  simplify(p, P)
    end
  end
fi
end
# Failure:  Initial U is satisfiable, P describes model

```

Figure 1: Main proof procedure of E

The proof search is controlled by three major parameters: The term ordering (described in section 4.2), the literal selection function, and the order in which the `select` operation selects the next clause to process.

E implements two different classes of term orderings, lexicographic term orderings and Knuth-Bendix orderings. A given ordering is determined by instantiating one of the classes with a variety of parameters (described in section 4.2).

Literal selection currently is done according to one of more than 50 predefined functions. Section 4.3 describes this feature.

Clause selection is determined by a heuristic evaluation function, which conceptually sets up a set of priority queues and a weighted round robin scheme that determines from which queue the next clause is to be picked. The order within each queue is determined by a priority function (which partitions the set of unprocessed clauses into one or more subsets) and a heuristic evaluation function, which assigns a numerical rating to each clause. Section 4.1 describes the user interface to this mechanism.

## 4 Usage

### 4.1 Search Control Heuristics

Search control heuristics define the order in which the prover considers newly generated clauses. A heuristic is defined by a set of *clause evaluation functions* and a selection scheme which defines how many clauses are selected according to each evaluation function. A clause evaluation function consists of a *priority function* and an instance of a generic *weight function*.

#### 4.1.1 Priority functions

Priority functions define a partition on the set of clauses. A single clause evaluation consists of a priority (which is the first selection criteria) and an evaluation. Priorities are usually *not* suitable to encode heuristical control knowledge, but rather are used to express certain elements of a search strategy, or to restrict the effect of heuristic evaluation functions to certain classes of clauses.

Syntactically, the currently available priority functions are described by the following rule:

```
<prio-fun> ::= PreferGroundGoals ||
              PreferUnitGroundGoals ||
              PreferGround ||
              PreferNonGround ||
              PreferProcessed ||
              PreferNew ||
              PreferGoals ||
              PreferNonGoals ||
              PreferUnits ||
              PreferNonUnits ||
              PreferHorn ||
              PreferNonHorn ||
              ConstPrio ||
              ByLiteralNumber ||
              ByDerivationDepth ||
              ByDerivationSize ||
              ByNegLitDist ||
              ByGoalDifficulty ||
```

```
SimulateSOS||  
PreferHorn||  
PreferNonHorn||  
PreferUnitAndNonEq||  
DeferNonUnitMaxEq||  
ByCreationDate
```

The priority functions are interpreted as follows:

**PreferGroundGoals:** Always prefer ground goals (all negative clauses without variables), do not differentiate between all other clauses.

**PreferUnitGroundGoals:** Prefer unit ground goals.

**PreferGround:** Prefer clauses without variables.

**PreferNonGround:** Prefer clauses with variables.

**PreferProcessed:** Prefer clauses that have already been processed once and have been eliminated from the set of processed clauses due to interreduction (forward contraction).

**PreferNew:** Prefer new clauses, i.e. clauses that are processed for the first time.

**PreferGoals:** Prefer goals (all negative clauses).

**PreferNonGoals:** Prefer non goals, i.e. facts with at least one positive literal.

**PreferUnits:** Prefer unit clauses (clauses with one literal).

**PreferNonUnits:** Prefer non-unit clauses.

**PreferHorn:** Prefer Horn clauses (clauses with no more than one positive literals).

**PreferNonHorn:** Prefer non-Horn clauses.

**ConstPrio:** Assign the same priority to all clauses.

**ByLiteralNumber:** Give a priority according to the number of literals, i.e. always prefer a clause with fewer literals to one with more literals.

**ByDerivationDepth:** Prefer clauses which have a short derivation depth, i.e. give a priority based on the length of the longest path from the clause to an axiom in the derivation tree. Counts generating inferences only.

**ByDerivationSize:** Prefer clauses which have been derived with a small number of (generating) inferences.

**ByNegLitDist:** Prefer goals to non-goals. Among goals, prefer goals with fewer literals and goals with ground literals (more exactly: the priority is increased by 1 for a ground literal and by 3 for a non-ground literal. Clauses with lower values are selected before clauses with higher values).

**ByGoalDifficulty:** Prefer goals to non-goals. Select goals based on a simple estimate of their difficulty: First unit ground goals, then unit goals, then ground goals, then other goals.

**SimulateSOS:** Use the priority system to simulate Set-Of-Support. This prefers all initial clauses and all Set-Of-Support clauses. Some non-SOS-clauses will be generated, but not selected for processing. This is neither well tested nor a particularly good fit with E's calculus, but can be used as one among many heuristics. If you try a pure SOS strategy, you also should set `--restrict-literal-comparisons` and run the prover without literal selection enabled.

**PreferHorn:** Prefer Horn clauses (note: includes units).

**PreferNonHorn:** Prefer non-Horn clauses.

**PreferUnitAndNonEq:** Prefer all unit clauses and all clauses without equational literal. This was an attempt to model some restricted calculi used e.g. in Gandalf [Tam97], but did not quite work out.

**DeferNonUnitMaxEq:** Prefer everything except for non-unit clauses with a maximal equational literal (“Don't paramodulate if its to expensive”). See above, same result.

**ByCreationDate:** Return the creation date of the clause as priority. This imposes a FIFO equivalence class on clauses. Clauses generated from the same given clause are grouped together (and can be ordered with any evaluation function among each other).

Please note that careless use of certain priority functions can make the prover incomplete for the general case.

### 4.1.2 Generic Weight Functions

Generic weight functions are templates for functions taking a clause and returning a weight (i.e. an estimate of the usefulness) for it, where a lower weight means that the corresponding clause should be processed before a clause with a higher weight. A generic weight function is combined with a priority function and instantiated with a set of parameters to yield a clause evaluation function.

You can specify an instantiated generic weight function as described in this rule<sup>7</sup>:

```
<weight-fun> ::= Clauseweight '( <prio-fun> ', <int>, <int>,
                                <float> )' ||
                Refinedweight '( <prio-fun> ', <int>, <int>,
                                <float>, <float>, <float> )' ||
                Orientweight '( <prio-fun>, <int>, <int>,
```

---

<sup>7</sup>Note that there now are many additional generic weight functions not yet documented.

```

                                <float>, <float>, <float> ')' ||
Simweight '(' <prio-fun>, <float>, <float>,
                                <float>, <float> ')' ||
FIFOweight '(' <prio-fun> ')' ||
LIFOweight '(' <prio-fun> ')'

```

`Clauseweight(prio, fweight, vweight, pos_mult)`: This is the basic symbol counting heuristic. Variables are counted with weight `fweight`, function symbols with weight `vweight`. The weight of positive literals is multiplied by `pos_mult` before being added into the final weight.

`Refinedweight(prio, fweight, vweight, term_pen, lit_pen, pos_mult)`: This weight function is very similar to the first one. It differs only in that it takes the effect of the term ordering into account. In particular, the weight of a term that is maximal in its literal is multiplied by `term_pen`, and the weight of maximal literals is multiplied by `lit_pen`.

`Orientweight(prio, fweight, vweight, term_pen, lit_pen, pos_mult)`: This weight function is a slight variation of `Refinedweight()`. In this case, the weight of *both* terms of an unorientable literal is multiplied by a penalty `term_pen`.

`Simweight(prio, equal_weight, vv_clash, vt_clash, tt_clash)`: This weight function is intended to return a low weight for literals in which the two terms are very similar. It does not currently work very well even for unit clauses – RTFS (in `<che_simweight.c>`) to find out more.

`FIFOweight(prio)`: This weight function assigns weights that increase in a strictly monotonic manner, i.e. it realises a *first-in/first-out* strategy if used all by itself. This is the most obviously fair strategy.

`LIFOweight(prio)`: This weight function assigns weights that decrease in a strictly monotonic manner, i.e. it realises a *last-in/first-out* strategy if used all by itself (which, of course, would be unfair and result in an extremely incomplete prover).

### 4.1.3 Clause Evaluation Functions

A clause evaluation function is constructed by instantiating a generic weight function. It can either be specified directly, or specified and given a name for later reference at once:

```

<eval-fun>          ::= <ident>          ||
                    <weight-fun>      ||
                    <eval-fun-def>
<eval-fun-def>     ::= <ident> = <weight-fun>
<eval-fun-def-list> ::= <eval-fun-def>*

```

Of course a single identifier is only a valid evaluation function if it has been previously defined in a `<eval-fun-def>`. It is possible to define the value of an identifier more than once, in which case later definitions take precedence to former ones.

Clause evaluation functions can be defined on the command line with the `-D` (`--define-weight-function`) option, followed by a `<eval-fun-def-list>`.

*Example:*

```
eprover -D"ex1=Clauseweight(ConstPrio,2,1,1) \
        ex2=FIFOWeight(PreferGoals)" ...
```

sets up the prover to know about two evaluation function `ex1` and `ex2` (which supposedly will be used later on the command line to define one or more heuristics). The double quotes are necessary because the brackets and the commas are special characters for most shells

There are a variety of clause evaluation functions predefined in the variable `DefaultWeightFunctions`, which can be found in `che_proofcontrol.c`.

#### 4.1.4 Heuristics

A heuristic defines how many selections are to be made according to one of several clause evaluation functions. Syntactically,

```
<heu-element> ::= <int> '*' <eval-fun>
<heuristic>   ::= '(' <heu-element> (,<heu-element>)* ')' ||
               <ident>
<heuristic-def> ::= <ident> = <heuristic> ||
                  <heuristic>
```

As above, a single identifier is only a valid heuristic if it has been defined in `<heuristic-def>` previously. A `<heuristic-def>` which degenerates to a simple heuristic defines a heuristic with name `Default` (which the prover will automatically choose if no other heuristic is selected with the `-x` (`--expert-heuristic`)).

*Example:* To continue the above example,

```
eprover -D"ex1=Clauseweight(ConstPrio,2,1,1) \
        ex2=FIFOWeight(PreferGoals)"
        -H"new=(3*ex1,1*ex2)" \
        -x new LUSK3.lop
```

will run the prover on a problem file named `LUSK3.lop` with a heuristic that chooses 3 out of every 4 clauses according to a simple symbol counting heuristic and the last clause first among goals and then among other clauses, selecting by order of creation in each of these two classes.

## 4.2 Term Orderings

... exist and are important. Use the default or `-tAuto` until either better documentation turns up or you can pick up the necessary information some other way.

## 4.3 Literal Selection Strategies

The superposition calculus allows the *selection* of arbitrary negative literals in a clause and only requires generating inferences to be performed on these literals. E supports this feature and implements it via manipulations of the literal ordering. Additionally, E implements strategies that allow inferences into maximal positive literals and selected negative literals. A selection strategy is selected with the option `--literal-selection-strategy`. Currently, at least the following strategies are implemented:

**NoSelection:** Perform ordinary superposition without selection.

**NoGeneration:** Do not perform any generating inferences. This strategy is not complete, but applying it to a formula generates a normal form that does not contain any tautologies or redundant clauses.

**SelectNegativeLiterals:** Select all negative literals. For Horn clauses, this implements the maximal literal positive unit strateg [Der91] previously realized separately in E.

**SelectPureVarNegLiterals:** Select the first negative literal of the form  $X \simeq Y$ .

**SelectLargestNegLit:** Select the largest negative literal (by symbol counting, function symbols count as 2, variables as 1).

**SelectSmallestNegLit:** As above, but select the smallest literal.

**SelectDiffNegLit:** Select the negative literal in which both terms have the largest size difference.

**SelectGroundNegLit:** Select the first negative ground literal for which the size difference between both terms is maximal.

**SelectOptimalLit:** If there is a ground negative literal, select as in the case of **SelectGroundNegLit**, otherwise as in **SelectDiffNegLit**.

Each of the strategies that do actually select negative literals has a corresponding counterpart starting with **P** that additionally allows paramodulation into maximal positive literals<sup>8</sup>.

---

<sup>8</sup>Except for **SelectOptimalLit**, where the resulting strategy, **PSelectOptimalLit** will allow paramodulation into positive literals only if no ground literal has been selected.

*Example:* Some problems become a lot simpler with the correct strategy. Try e.g.

```
eprover --literal-selection-strategy=NoSelection \  
        GRP001-1+rm_eq_rstfp.lop  
eprover --literal-selection-strategy=SelectLargestNegLit \  
        GRP001-1+rm_eq_rstfp.lop
```

You will find the file `GRP001-1+rm_eq_rstfp.lop` in the `E/PROVER` directory.

As we aim at replacing the vast number of individual literal selection functions with a more abstract mechanism, we refrain from describing all of the currently implemented functions in detail. If you need information about the set of implemented functions, run `eprover -W none`. The individual functions are implemented and somewhat described in `E/HEURISTICS/che_litselection.h`.

#### 4.4 Other Options

### 5 Input Language

E natively uses E-LOP, a dialect of the LOP language designed for SETHEO. At the moment, your best bet is to retrieve the LOP description from the E web site [Sch99] and/or check out the examples available from it. LOP is very close to Prolog, and E can usually read many fully declarative Prolog files if they do not use arithmetic or rely on predefined symbols. Plain SETHEO files usually also work very well. There are a couple of minor differences, however:

- `equal()` is an interpreted symbol for E. It normally does not carry any meaning for SETHEO (unless equality axioms are added).
- SETHEO allows the same identifier to be used as a constant, a non-constant function symbol and a predicate symbol. E encodes all of these as ordinary function symbols, and hence will complain if a symbol is used inconsistently.
- E allows the use of both `=` and `=>` as infix symbols for equality. `a=b` is equivalent to `equal(a,b)` for E.
- E does not support constraints or SETHEO build-in symbols. This should not usually affect pure theorem proving tasks.
- E normally treats procedural clauses exactly as it treats declarative clauses. Query clauses (clauses with an empty head and starting with `?-`, e.g. `?-~p(X), q(X)`) can optionally be used to define the a set of *goal clauses* (by default, all negative clauses are considered to be goals). At the moment, this information is only used for the initial set of support (with

`--sos-uses-input-types`). Note that you can still specify arbitrary clauses as query clauses, since LOP supports negated literals.

As an alternative, E also supports TPTP syntax [SS97] (if given the option `--tptp-in` or `--tptp-format`) without includes and as far as it can be divined from the TPTP manual. In TPTP format, clauses with TPTP type `conjecture` are considered goal clauses for the `--sos-uses-input-types` option.

## 6 Output... or how to interpret what you see

E has several different output levels, controlled by the option `-l` or `--output-level`. Level 0 prints nearly no output except for the result. Level 1 is intended to give humans a somewhat readable impression of what is going on inside the inference engine. Levels 3 to 6 output increasingly more information about the inside processes in PCL2 format. At level 4 and above, a (large) superset of the proof inferences is printed. You can use the `epclextract` utility in E/PROVER/ to extract a simple proof object.

In Level 0 and 1, everything E prints is either a clause that is implied by the original axioms, or a comment (or, very often, both).

### 6.1 The Bare Essentials

In silent mode (`--output-level=0`, `-s` or `--silent`), E will not print any output during saturation. It will print a one-line comment documenting the state of the proof search after termination. The following possibilities exist:

- The prover found a proof. This is denoted by the output string  

```
# Proof found!
```
- The problem does not have a proof, i.e. the specification is satisfiable (and E can detect this):  

```
# No proof found!
```

Ensuring the completeness of a prover is much harder than ensuring correctness. Moreover, proofs can easily be checked by analysing the output of the prover, while such a check for the absence of proofs is rarely possible. I do believe that the current version of E is both correct and complete<sup>9</sup> but my belief in the former is stronger than my belief in the later. . . . .

- A (hard) resource limit was hit. For memory this can be either due to a per process limit (set with `limit` or the prover option `--memory-limit`), or due to running out of virtual memory. For cpu time, this case is triggered

---

<sup>9</sup>Unless the prover runs out of memory (see below), the user selects an unfair strategy (in which case the prover may never terminate), or some strange and unexpected things happen.

if the per process cpu time limit is reached and signalled to the prover via a SIGXCPU signal. This limit can be set with `limit` or, more reliable, with the option `--cpu-limit`. The output string is one of the following two, depending on the exact reason for termination:

```
# Failure: Resource limit exceeded (memory)
# Failure: Resource limit exceeded (time)
```

- A user-defined limit was reached during saturation, and the saturation process was stopped gracefully. Limits include number of processed clauses, number of total clauses, and cpu time (as set with `--soft-cpu-limit`). The output string is

```
# Failure: User resource limit exceeded!
```

... and the user is expected to know which limit he selected.

- Normally, E is complete. However, if the option `--delete-bad-limit` is given or if automatic mode in connection with a memory limit is used, E will periodically delete clauses it deems unlikely to be processed to avoid running out of memory. In this case, completeness cannot be ensured any more. This effect manifests itself extremely rarely. If it does, E will print the following string:

```
# Failure: Out of unprocessed clauses!
```

This is roughly equivalent to Otter's SOS `empty` message.

- Finally, it is possible to chose restricted calculi when starting E. This is useful if E is used as a normalization tool or as a preprocessor or lemma generator. In this case, E will print a corresponding message:

```
# Clause set closed under restricted calculus!
```

## 6.2 Impressing your Friends

If you run E without selection an output level (or by setting it explicitly to 1), E will print each non-tautological, non-subsumed clause it processes as a comment. It will also print a hash ('#') for each clause it tries to process but can prove to be superfluous.

This mode gives some indication of progress, and as the output is fairly restricted, does not slow the prover down too much.

For any output level greater than 0, E will also print statistical information about the proof search and final clause sets. The data should be fairly self-explaining.

### 6.3 Detailed Reporting

At output levels greater than 1, E prints certain inferences in PCL2 format<sup>10</sup>. At level 2, it only prints generating inferences. At level 4, it prints all generating and modifying inferences, and at level 6 it also prints PCL steps giving a lot of insight into the internal operation of the inference engine. This protocol is fairly readable and, from level 4 on can be used to check the proof with the utility `checkproof` provided with the distribution.

### 6.4 Requesting Specific Results

There are two additional kinds of information E can provide beyond the normal output during proof search: Statistical information and final clause sets (with additional information).

First, E can give you some technical information about the conditions it runs under.

The option `--print-pid` will make E printing its process id as a comment, in the format `# Pid: XXX`, where `XXX` is an integer number. This is useful if you want to send signals to the prover (in particular, if you want to terminate the prover) to control it from the outside.

The option `-R (--resources-info)` will make E print a summary of used system resources after graceful termination:

```
# User time           : 0.010 s
# System time        : 0.020 s
# Total time         : 0.030 s
# Maximum resident set size: 0 pages
```

Most operating systems do not provide a valid value for the resident set size and other memory-related resources, so you should probably not depend on the last value to carry any meaningful information. The time information is required by most standards and should be useful for all tested operating systems.

E can be used not only as a prover, but as a normalizer for formulae or as a lemma generator. In these cases, you will not only want to know if E found a proof, but also need some or all of the derived clauses, possibly with statistical information for filtering. This is supported with the `--print-saturated` and `--print-sat-info` options for E.

The option `--print-saturated` takes as its argument a string of letters, each of which represents a part of the total set of clauses E knows about. The following table contains the meaning of the individual letters:

---

<sup>10</sup>PCL2 is a proof output protocol language currently being designed by me as a successor to PCL [DS94a, DS94b, DS96].

- e Processed positive unit clauses (*Equations*).
- i Processed negative unit clauses (*Inequations*).
- g Processed non-unit clauses (except for the empty clause, which, if present, is printed separately). The above three sets are interreduced and all selected inferences between them have been computed.
- E Unprocessed positive unit clauses.
- I Unprocessed negative unit clauses.
- G Unprocessed non-unit clause (this set may contain the empty clause in very rare cases).
- a Print equality axioms (if equality is present in the problem). This letter prints axioms for reflexivity, symmetry, and transitivity, and a set of substitutivity axioms, one for each argument position of every function symbol and predicate symbol.
- A As a, but print a single substitutivity axiom covering all positions for each symbol.

The short form, `-S`, is equivalent to `--print-saturated=eigEIG`. If the option `--print-sat-info` is set, then each of the clauses is followed by a comment of the form `# info(id, pd, pl, sc, cd, nl, no, nv)`. The following table explains the meaning of these values:

- id Clause ident (probably only useful internally)
- pd Depth of the derivation graph for this clause
- pl Number of nodes in the derivation graph
- sc Symbol count (function symbols and variables)
- cd Depth of the deepest term in the clause
- nl Number of literals in the clause
- no Number of variable occurrences
- nv Number of different variables

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